

# Journal Pre-proof

Etranacogene dezaparvovec in participants with hemophilia B and without adeno-associated virus serotype 5 neutralizing antibodies: A 4-year subgroup analysis (HOPE-B)

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PII: S2475-0379(25)00645-4

DOI: <https://doi.org/10.1016/j.rpth.2025.103321>

Reference: RPTH 103321

To appear in: *Research and Practice in Thrombosis and Haemostasis*

Received Date: 31 August 2025

Revised Date: 26 November 2025

Accepted Date: 23 December 2025

Please cite this article as: Raheja P, O'Connell N, Verhamme P, Kampmann P, Lemons RS, Wang F, Gill S, Monahan PE, Le Quellec S, Leebeek FWG, Etranacogene dezaparvovec in participants with hemophilia B and without adeno-associated virus serotype 5 neutralizing antibodies: A 4-year subgroup analysis (HOPE-B), *Research and Practice in Thrombosis and Haemostasis* (2026), doi: <https://doi.org/10.1016/j.rpth.2025.103321>.

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# Etranacogene dezaparvovec in participants with hemophilia B and without adeno-associated virus serotype 5 neutralizing antibodies: A 4-year subgroup analysis (HOPE-B)

Treatment for hemophilia B has until recently been lifelong regular infusions of factor replacement therapies, which is burdensome for patients



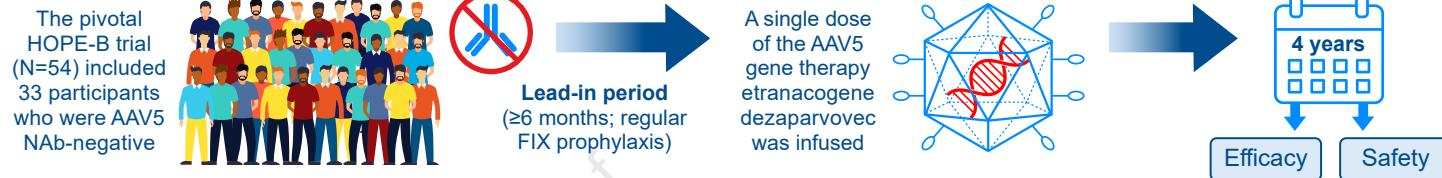
Gene therapy offers the potential of a single-dose treatment for long-term disease correction of hemophilia B



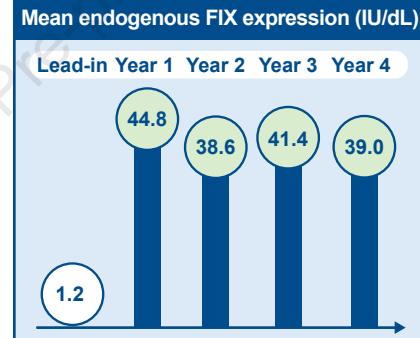
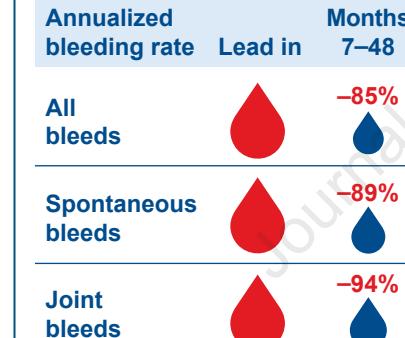
How does a subgroup of participants with no AAV5 NAbs respond to etranacogene dezaparvovec for hemophilia B?



## Assessing outcomes post-etrancogene dezaparvovec in participants with hemophilia B and who are AAV5 NAb-negative



### 4-year post-hoc subgroup analysis results



	Year 1	Years 2–4
Treatment-related AEs (n)	56	0
Treatment-related serious AEs (n)	0	0

Participants with severe or moderately severe hemophilia B who were NAb-negative experienced substantial benefits over 4 years of follow-up after a single infusion of etranacogene dezaparvovec

Etranacogene dezaparvovec in participants with hemophilia B and without adeno-associated virus serotype 5 neutralizing antibodies: A 4-year subgroup analysis of HOPE-B

Raheja et al. 2025 | Research and Practice in Thrombosis and Haemostasis

1 **Etranacogene dezaparvovec in participants with hemophilia B and without adeno-**  
2 **associated virus serotype 5 neutralizing antibodies: A 4-year subgroup analysis**  
3 **(HOPE-B)**

4

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24 Original article word count: 3523 (limit: 5000)

25 **Social media post**

26 Focus on patients without AAV5 neutralizing antibodies from the HOPE-B trial reveals lasting  
27 bleeding reduction, stable near-normal FIX activity, and 100% freedom from FIX prophylaxis over 4  
28 years posttreatment with etranacogene dezaparvovec.

29

30 #AAV #genetherapy; #hemophilia #hemophiliaB; #etranacogenedezaparvovec; #HOPE-B;  
31 #neutralizing antibody-negative

32

33 **Abstract**34 **Background**

35 In the phase 3 HOPE-B trial, a single dose of etranacogene dezaparvovec was administered  
36 to participants with severe or moderately severe hemophilia B following a lead-in period ( $\geq 6$   
37 months) in which they received factor IX (FIX) prophylaxis. Participants were enrolled  
38 regardless of adeno-associated virus serotype 5 (AAV5) neutralizing antibody (NAb) status  
39 at screening.

40 **Objectives**

41 To determine efficacy, pharmacokinetic, and safety outcomes over 4 years post-gene  
42 therapy in HOPE-B participants who were NAb-negative (NAb-).

43 **Methods**

44 Participants provided serum samples for AAV5 NAb determination using an in vitro AAV5  
45 transduction inhibition assay prior to etranacogene dezaparvovec infusion. Participants who  
46 were AAV5 NAb- at this time point were examined in this post hoc subgroup analysis.

47 **Results**

48 In NAb- participants (n=33), mean adjusted ABR was significantly reduced between Months  
49 7–48 post-etranacogene dezaparvovec versus lead-in (0.57 vs 3.80;  $p<0.0001$ ). At 1, 2, 3,  
50 and 4 years, ABRs were 0.99, 0.72, 0.41, and 0.41, respectively ( $p<0.0001$  versus lead-in;  
51 n=33 throughout). Mean (standard deviation) endogenous FIX activity was 40.6 (18.6) IU/dL  
52 at Month 6 post-infusion (n=33), remained stable, and was 39.0 (16.8) IU/dL at Year 4  
53 (n=33). Exogenous FIX consumption decreased by 99% during Months 7–48 versus the  
54 lead-in period, and no NAb- participant returned to continuous FIX prophylaxis over 4 years  
55 post-infusion. No treatment-related oncogenic events or persistent late hepatotoxicity was  
56 observed.

57 **Conclusions**

58 Etranacogene dezaparvovec proved highly effective, superior to FIX prophylaxis for bleeding  
59 protection, and safe over 4 years post-infusion in NAb- participants with severe or  
60 moderately severe hemophilia B (NCT03569891).

61 **Keywords:** Gene Therapy, Hemophilia B, Neutralizing Antibody, Adeno-Associated Virus,  
62 Factor IX

63 **Essentials**

- 64 • HOPE-B tested etranacogene dezaparvovec in hemophilia B people with or without  
65 vector antibodies
- 66 • This post-hoc study reports 4-year results post-gene therapy in antibody-negative  
67 patients
- 68 • Etranacogene dezaparvovec was safe and reduced bleeding events, compared with  
69 standard therapy
- 70 • Around half of patients produced stable FIX at the same level as people without  
71 hemophilia

### 73      **Introduction**

74      Treatment for hemophilia B, an X-linked bleeding disorder resulting in deficient factor IX  
75      (FIX) activity, commonly involves FIX protein replacement therapies. However, despite  
76      advances associated with newer FIX products, the lifelong need for regular infusions is  
77      burdensome for people with hemophilia B.[1] Infusion-related treatment burdens include time  
78      taken to prepare and administer treatment, pain during and/or after injections, and the need  
79      to store medication and supplies.[2] Such burdens may cause a delay in treatment, missed  
80      infusions, or a complete stopping of prophylactic treatment, resulting in a deterioration in  
81      health outcomes for people with hemophilia B.[3]

82      The recent development of gene therapy for hemophilia B offers the potential of a single-  
83      dose infusion, resulting in durable FIX expression, substantial reduction of treatment burden,  
84      and improved patient quality of life.[1, 4] The most common method used to deliver the FIX  
85      coding sequence into cells utilizes the adeno-associated virus (AAV), a non-replicative  
86      single-stranded DNA parvovirus. Adeno-associated viruses offer several advantages for *in*  
87      *vivo* gene therapy, including the absence of known pathogenicity in humans. Wild-type  
88      AAVs demonstrate preferential tropism for specific target organs, and typically persist as  
89      episomal circular DNA within the nucleus of host cells, with low genomic DNA integration  
90      rates.[5] However, when recombinant AAV vectors are utilized in clinical trials, the dosing  
91      regimens may lead to higher absolute integration rates in targeted tissues, warranting careful  
92      consideration and long-term monitoring.[6] Several AAV serotypes that vary in capsid amino  
93      acid sequence homology and other features have been used in gene therapy.[1, 7-10] Wild-  
94      type AAVs occur naturally in the environment, and therefore people who are exposed to a  
95      wild-type AAV can develop neutralizing antibodies to the viral capsid[11-13] that are cross-  
96      reactive with recombinant AAVs of the same or different serotypes; cross-neutralization has  
97      the potential to inhibit transduction of the target tissue during gene therapy.[14]  
98      Consequently, people with pre-existing AAV neutralizing antibodies have generally been  
99      excluded from clinical trials of AAV-based gene therapies. For example, a phase 3 trial of a

100 gene therapy that utilized a recombinant AAV serotype rh74 capsid excluded participants  
101 based on the presence of AAV rh74 neutralizing antibodies; of 316 men screened, 188  
102 (60%) were ineligible to enter the trial on this basis.[15]

103 Etranacogene dezaparvovec is an AAV serotype 5 (AAV5)-based gene therapy with a  
104 codon-optimized gene expression cassette encoding the naturally occurring human FIX  
105 Padua (R338L) variant.[16, 17] The primary analysis of the pivotal phase 3 HOPE-B trial  
106 (ClinicalTrials.gov identifier: NCT03569891) of etranacogene dezaparvovec (CSL222,  
107 HEMGENIX®) demonstrated significantly improved bleeding outcomes in participants with  
108 hemophilia B (FIX ≤2 IU/dL) who had previously been receiving standard-of-care continuous  
109 FIX prophylaxis.[16, 17] Data from the previous phase 2b trial of etranacogene  
110 dezaparvovec showed that FIX expression was maintained for at least 5 years in  
111 participants.[18] In contrast to most AAV-based gene therapy clinical trials, HOPE-B enrolled  
112 participants with and without AAV5 neutralizing antibodies.[17] However, few data on long-  
113 term outcomes following gene therapy for hemophilia B in patients according to neutralizing  
114 antibody status are available. In the post-hoc analysis of the HOPE-B study reported here,  
115 long-term efficacy and tolerability outcomes were assessed in the subgroup of participants  
116 without AAV5 neutralizing antibodies prior to etranacogene dezaparvovec infusion.

117 Screening determination of AAV5 neutralizing antibody status is available not only in the  
118 clinical trial setting, but also for individuals with hemophilia B considering etranacogene  
119 dezaparvovec therapy in the real-world setting. Importantly, individuals without AAV5  
120 neutralizing antibodies constitute the majority, representing approximately 55–60% of the  
121 global population.[11, 19, 20]

122 This post hoc analysis provides the longest-term follow-up to date from a phase 3 study of  
123 systemically-delivered, liver-directed AAV-based gene therapy in hemophilia B. Focusing on  
124 the most prevalent population, individuals without AAV5 neutralizing antibodies, these  
125 results inform clinical decision-making for these specific individuals and facilitate more

126 accurate indirect comparisons with other gene therapy trials that restricted enrollment to  
127 antibody-negative patients.

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129 **Methods**130 ***Study participants***

131 The HOPE-B study enrolled adult males with severe (FIX activity <1 IU/dL) or moderately  
132 severe (FIX activity between 1–≤2 IU/dL) hemophilia B. Participants were required to have  
133 been receiving stable, continuous FIX prophylaxis for ≥2 months prior to screening, with the  
134 specific dose and product determined by their physician. Informed consent was another  
135 inclusion criterion. Following screening, participants then continued to receive their  
136 continuous FIX prophylaxis regimen during the lead-in period of 6 months or longer. Key  
137 exclusion criteria included a history of FIX inhibitors, active hepatitis B or C viral infection,  
138 and known severe infection or another significant concurrent uncontrolled medical  
139 condition. Neutralizing antibody positivity was not an exclusion criterion. Full eligibility  
140 criteria have been reported previously.[17] Participants eligible for this post hoc analysis of  
141 the HOPE-B study were AAV5 neutralizing antibody-negative on the day of dosing, prior to  
142 etranacogene dezaparvovec infusion.

143 ***Study design***

144 HOPE-B was a phase 3, open-label, multinational study in which participants received a  
145 single intravenous dose of etranacogene dezaparvovec at  $2 \times 10^{13}$  genome copies per kg  
146 body weight and were planned to be followed for 5 years post-gene therapy. The trial was  
147 conducted in accordance with the International Council for Harmonisation Good Clinical  
148 Practice guidelines and the ethical principles stated in the Declaration of Helsinki. The  
149 study protocol was approved by independent ethics committees and institutional review  
150 boards at each study site.

151 The primary endpoint of HOPE-B was the annualized bleeding rate during a 52-week  
152 period from Months 7–18 post-gene therapy. Secondary endpoints included endogenous  
153 FIX activity (measured by a one-stage assay) at 26 and 52 weeks after steady-state FIX  
154 activity was reached as well as factor replacement use, frequency and severity of adverse

155 events, and reactive use of corticosteroids. We report here a post-hoc subgroup analysis of  
156 efficacy, pharmacokinetic, and safety outcomes over 4 years in participants who were  
157 neutralizing antibody-negative on the day of dosing prior to etranacogene dezaparvovec  
158 infusion.

159 ***Analysis of adeno-associated virus neutralizing antibodies***

160 Serum samples for AAV5 neutralizing antibody determination were obtained from  
161 participants during the screening period, lead-in period (at 8 weeks and 4 weeks prior to  
162 etranacogene dezaparvovec infusion), and on the day of etranacogene dezaparvovec  
163 infusion. A central laboratory assessed AAV5 neutralizing antibody levels (Precision for  
164 Medicine, Frederick, USA). This cell-based neutralizing antibody assay assessed the  
165 potential for participant serum to inhibit the *in vitro* transduction of mammalian cells by  
166 AAV5 reporter vector expressing luciferase. Descriptions of antibody determination  
167 methodology have been reported previously.[16, 17]

168 ***Molecular analysis to assess neoplasm transformation***

169 Molecular analyses were conducted for the detection of vector integration sites by  
170 ProtaGene CGT GmbH (Heidelberg, Germany), independently from the sponsor, on DNA  
171 samples extracted from neoplasm tissue and blood. A detailed description of the analyses  
172 can be found in the **Supplementary materials**.

173 Briefly, DNA was extracted using the QIAamp DNA Mini Kit (Qiagen). A polymerase chain  
174 reaction (PCR) with vector-specific primers (hFIXco\_FW and hFIXco\_RV) was performed on  
175 10 ng DNA per sample with vector-containing plasmids as positive control. Whole genome  
176 sequencing data were analyzed for the detection of integration sites (IS) and to perform  
177 somatic variant calling.

178 ***Statistical analysis***

179 Demographic and baseline characteristics were summarized descriptively using sample  
180 size (n), mean, standard deviation (SD), minimum, maximum, median, and interquartile

181 range (IQR) for continuous measurements, and frequency and percentages (%) for  
182 categorical variables. Adjusted annualized bleeding rates and comparison of annualized  
183 bleeding rates between lead-in and post-gene therapy period are estimated from a  
184 repeated-measures regression model with a negative binomial distribution and using  
185 generalized estimating equations, with an offset term accounting for the paired design and  
186 the differential collection periods. One-stage activated partial thromboplastin time-based  
187 (SynthaSIL®) FIX activity measurements (expressed as IU/dL) from the central laboratory  
188 were summarized descriptively. Post-gene therapy FIX samples were considered  
189 contaminated and were excluded from the analysis if drawn within 5 half-lives of FIX  
190 concentrate administration, on the basis of the reported half-life of each product.  
191 Annualized FIX consumption, excluding FIX replacement for invasive procedures, was  
192 computed for each period by dividing the total consumption by the time under observation  
193 (in years) and compared between the post-gene therapy and lead-in phase using a two-  
194 sided paired t test (using the pair of values from each participant). The analyses reported  
195 here describe a retrospective post-hoc examination of data collected prospectively during  
196 the lead-in phase and 4 years of follow-up for all participants treated in the HOPE-B phase  
197 3 trial which was not specifically powered to detect significant differences or associations.  
198 All analyses were performed in SAS 9.4; figures were generated using GraphPad®.

199 ***Data availability statement***

200 Individual participant data will not be shared. CSL Behring can provide scientific researchers  
201 access to deidentified participant data collected in clinical trials to improve participant care to  
202 support the advancement of medical science. Any data requests should be sent to this email  
203 address: Office.CMO@cslbehring.com

204 **Results**205 **Participants**

206 The study began on June 27, 2018, and this 4-year post-hoc analysis includes data up to  
 207 June 03, 2024. Overall, 33 of 54 participants in HOPE-B were AAV5 neutralizing antibody-  
 208 negative on the day of dosing, prior to etranacogene dezaparvovec infusion. Baseline  
 209 demographics for these participants are shown in **Table 1**. Most participants (85%) had a  
 210 severe hemophilia B diagnosis (FIX <1 IU/dL) and around half (52%) had experienced a  
 211 prior hepatitis C viral infection. All 33 participants completed 4 years of follow-up after  
 212 etranacogene dezaparvovec infusion.

213 **Annualized bleeding rates**

214 In the neutralizing antibody-negative participants (n=33), the mean adjusted annualized  
 215 bleeding rate (all bleeds) was reduced by 85% (two-sided Wald confidence interval [CI]:  
 216 75–91; p<0.0001), from 3.80 during lead-in to 0.57 between Months 7–48 post-  
 217 etranacogene dezaparvovec infusion (**Figure 1A**). Mean adjusted annualized bleeding  
 218 rates for all bleeds at 1, 2, 3, and 4 years post-etrancogene dezaparvovec infusion were  
 219 0.99, 0.72, 0.41, and 0.41, respectively (all p<0.0001 vs lead-in; n=33 at all time points;  
 220 **Figure 1B**).

221 Compared with the lead-in period, the mean adjusted annualized bleeding rate for  
 222 spontaneous bleeds was reduced by 89% (two-sided Wald 95% CI: 64–96; p<0.0001), from  
 223 1.04 during lead-in to 0.12 over Months 7–48 (**Figure 1A**). Mean adjusted spontaneous  
 224 annualized bleeding rates were 0.20, 0.06, 0.19 and 0.13 at Years 1, 2, 3, and 4 post-  
 225 etranacogene dezaparvovec infusion, respectively (p<0.001, p<0.0001, p<0.01, and  
 226 p<0.01, respectively, vs lead-in; **Figure 1B**).

227 Similarly, the mean adjusted ABR for joint bleeds was reduced by 94% (two-sided Wald  
 228 95% CI: 88–97; p<0.0001), from 1.75 during lead-in to 0.10 during Months 7–48 (**Figure**  
 229 **1A**). At Years 1, 2, 3, and 4, mean adjusted joint annualized bleeding rates were 0.20, 0.09,

230 0.09, and 0.06 post-etranacogene dezaparvovec infusion, respectively (all p<0.0001 vs  
231 lead-in; **Figure 1B**).

232 These reductions in ABR and the associated p-values met the statistical thresholds for both  
233 non-inferiority and superiority when compared with the lead-in standard-of-care treatment.

234 ***Endogenous factor IX activity***

235 All 33 participants who were neutralizing antibody-negative expressed endogenous  
236 transgene-derived FIX post-gene therapy (**Figure 2**). Mean (SD) endogenous FIX activity  
237 was 40.6 (18.6) IU/dL at Month 6 (n=33), remained stable over 4 years post-gene therapy,  
238 and was 39.0 (16.8) IU/dL at Year 4 (n=33). Median (range) FIX activity at Year 4 was 35.7  
239 (4.7–80.1) IU/dL.

240 ***Use of exogenous factor IX***

241 Over the 4-year time period reported here, no neutralizing antibody-negative participant  
242 returned to continuous exogenous FIX prophylaxis following etranacogene dezaparvovec  
243 infusion. In each year post-gene therapy, approximately 80% of neutralizing antibody-  
244 negative participants did not require any exogenous FIX infusions (**Figure 3**). During the  
245 lead-in period, bleeds requiring FIX treatment comprised 82% of total bleeds; post-gene  
246 therapy, 37% of all bleeds over 4 years required exogenous FIX treatment. Exogenous FIX  
247 consumption, excluding invasive procedures, decreased by 99%, from a mean (SD) of  
248 264,888 (153,545) IU/year during the lead-in period to a mean (SD) of 1,878 (3337) IU/year  
249 during Months 7–48 post-gene therapy (mean [standard error] reduction of 263,010 [26615]  
250 IU/year; p<0.0001; n=33).

251 ***Safety***

252 Of 455 treatment-emergent adverse events reported in neutralizing antibody-negative  
253 participants (**Figure 4**) during Years 1–4 post-etranacogene dezaparvovec infusion, 78%  
254 were mild, 19% moderate, and 3% severe. Overall, 22 of 33 participants experienced  
255 treatment-related adverse events during the first 3 months following etranacogene

256 dezaparvovec infusion; no treatment-related adverse events were reported from 3 to 42  
257 months of follow up and one participant reported three treatment-related adverse events  
258 during Months 43–48. The most frequent treatment-related adverse event was transient  
259 alanine transaminase elevation in six (18%) participants (**Figure S1**). These elevations  
260 occurred between 22 and 71 days post-etranacogene dezaparvovec infusion. The peak  
261 alanine aminotransferase level for one participant was 2-fold the upper limit of normal, for  
262 three participants, peak alanine aminotransferase elevations were between 1–2-fold the  
263 upper limit of normal, while for two participants peak alanine aminotransferase elevations  
264 were approximately 2-fold the value of the participants' pre-gene therapy baseline alanine  
265 aminotransferase levels; however, these elevations remained within normal limits. Five out  
266 of 6 participants with treatment-related alanine aminotransferase elevations and one  
267 participant with non-treatment related alanine aminotransferase elevations received a  
268 reactive course of corticosteroid treatment, with the mean (SD) total duration of  
269 corticosteroid use for these participants being 79.5 (30.3) days. Time to receipt of  
270 corticosteroid treatment following alanine aminotransferase elevation ranged from 0 to 21  
271 days. Mean (SD) endogenous FIX activity at or near the time of corticosteroid initiation was  
272 20.8 (10.3) IU/dL (n=6). Mean (SD) endogenous FIX activity remained stable over 4 years  
273 post-gene therapy and was 20.5 (13.5) IU/dL (n=6) at Year 4. Median (range) FIX activity at  
274 Year 4 was 18.5 (4.7–37.6) IU/dL (n=6) (**Figure S1**).

275 No persistent late hepatotoxicity was observed, including in participants who experienced  
276 early liver inflammation and those with a history of chronic viral hepatitis. No serious adverse  
277 events considered related to treatment, development of inhibitors, or thrombotic events were  
278 reported. No oncogenic events considered related to treatment were reported. During Year  
279 4, one serious adverse event of glossopharyngeal schwannoma was observed in one  
280 neutralizing antibody-negative participant and explored by molecular analysis for vector  
281 integration. No evidence of AAV5 vector DNA in tumor or control sample was detected using  
282 polymerase chain (PCR), no integration events were identified in affected tissue using whole

283 genome sequencing, while premalignant signatures of somatic *NF2* defects consistent with  
284 the development of a schwannoma were found; consequently, this serious adverse event  
285 was considered unrelated to treatment. A detailed description of the patient narrative,  
286 molecular analyses for the detection of integration site, and identification of relevant genetic  
287 signatures and corresponding findings are provided in the Supplementary Materials,  
288 including **Figure S2** and **Figure S3**.

289 **Discussion**

290 The present manuscript provides 4-year follow-up data from a phase 3 gene therapy trial,  
291 representing the longest duration of post-treatment observation in such a setting to date,  
292 supporting the sustained efficacy and durability of AAV-based gene therapy for hemophilia  
293 B. Additionally, the post-hoc analysis includes detailed outcomes for the participants who  
294 tested negative for AAV5 neutralizing antibodies prior to etranacogene dezaparvovec. This  
295 subgroup not only represented the largest subset within the trial but also reflects the  
296 expectation that most individuals with hemophilia B do not have pre-existing neutralizing  
297 antibodies to AAV5, conversely to other AAV serotypes. [11, 19, 20]

298 Neutralizing antibody-negative participants demonstrated that they had stable endogenous  
299 FIX activity over 4 years of follow-up post-gene therapy, accompanied by durable bleed  
300 protection and limited treatment-related adverse events, with no treatment-related adverse  
301 events reported after Month 3 post-gene therapy. While it has been previously reported that  
302 2 participants with pre-existing AAV5 neutralizing antibodies did not express endogenous  
303 transgene-derived FIX Padua protein following treatment with etranacogene  
304 dezaparvovec,[16, 17] all treated neutralizing antibody-negative participants expressed  
305 stable endogenous transgene-derived FIX throughout the 4-year analysis period, with the  
306 median value of one-stage FIX activity levels at 4 years follow-up being 35.7 IU/dL; the  
307 median value for the intent-to-treat population (N=54), ie. including neutralizing antibody-  
308 positive participants, at 4 years was 34.6 IU/dL (data on file). Approximately half of the  
309 neutralizing antibody-negative participants had endogenous FIX activity that was in the  
310 non-hemophilia range. However, the response was variable, with endogenous FIX values  
311 ranging from 4.7 through to 80.1 IU/dL at Year 4. The impact of early alanine  
312 aminotransferase elevation on FIX expression was one important contributor to the  
313 observed wide variation in response – three participants with alanine aminotransferase  
314 elevations also had the lowest endogenous FIX values (<15 IU/dL) at Years 1, 2, 3, and 4.  
315 Of note, these participants already had the lowest FIX expression prior to the occurrence of

316 alanine aminotransferase elevation. With the exception of these three individuals, all  
317 neutralizing antibody-negative participants maintained >20 IU/dL FIX activity through  
318 Months 7–48. Transient liver function abnormalities treated with corticosteroids during the  
319 first 6 months after gene therapy were not associated with subsequent instability or  
320 decreases in endogenous FIX activity during the months 7–48 follow-up; FIX expression  
321 that was preserved at discontinuation of corticosteroids was in general maintained at stable  
322 levels through the remainder of the follow-up period. Moreover, all endogenous FIX levels,  
323 including the lower values, allowed discontinuation of continuous FIX prophylaxis in the first  
324 weeks after gene therapy, and all neutralizing antibody-negative participants remained free  
325 of continuous FIX prophylaxis during the 4-year analysis period.

326 Focusing exclusively on the subset of HOPE-B participants with undetectable pre-existing  
327 AAV5 neutralizing antibodies is valuable, as it enables a meaningful indirect comparison  
328 with other AAV-based gene therapy trials for both hemophilia A and hemophilia B. This is  
329 because most of these trials [21] have excluded participants who were baseline AAV  
330 antibody-positive to their respective AAV vectors, primarily due to concerns that AAV  
331 neutralizing antibodies would prevent transduction of target cells.

332 Moreover, few studies have reported long-term pharmacokinetic and efficacy data for  
333 hemophilia gene therapies. Long-term data are essential to determine the durability and  
334 safety of this recently developed therapeutic modality, and to guide development of future  
335 gene therapies. Long-term maintenance of therapeutic levels of endogenous factor VIII  
336 expression has been challenging in trials of gene therapy for participants with hemophilia  
337 A.[12, 22] In a 5-year analysis of the phase 3 factor VIII gene therapy GENEr8-1 trial of  
338 valoctocogene roxaparvovec (N=134; all of whom were AAV5 immunoglobulin G- binding  
339 antibody-negative pre-gene therapy) mean (standard error) chromogenic assay-assessed  
340 endogenous factor VIII activity was 13.7 (2.1) IU/dL (mean one stage assay-assessed  
341 factor VIII: 24.0 IU/dL) at Year 5; mean annualized bleeding rate for treated bleeds was 0.6  
342 and 78% of patients had 0 bleeds during Year 5.[23] However, despite these relatively

343 positive outcomes, 19% of patients required re-initiation of factor VIII replacement treatment  
344 within 5 years post-gene therapy.[22, 23]

345 Through use of the gain-of-function Padua FIX variant in hemophilia B gene therapy, long-  
346 term stable expression of FIX at protective levels has been observed, although the extent of  
347 protection appears to vary according to gene therapy.[15] Three- and five-year follow up  
348 data from the initial phase 2b trial of etranacogene dezaparvovec (N=3; all participants  
349 were neutralizing antibody-positive) also showed sustained endogenous factor IX activity  
350 (36.9 and 45.7 IU/dL at Year 3 and 5 post-gene therapy, respectively), a significant  
351 reduction in bleeding events and a significant decrease in requirement for exogenous FIX,  
352 supporting the longer term therapeutic benefit of FIX Padua-based gene therapy.[24, 25]

353 The HOPE-B post-hoc analysis reported herein found a mean adjusted all-bleed annualized  
354 bleeding rate for all bleeds of 0.57 between Months 7–48 post-etrancogene dezaparvovec  
355 infusion and importantly, showing superior results compared to other vectors in late stage  
356 development. Indeed, another phase 3 study (N=45) of hemophilia B gene therapy  
357 fidanacogene elaparvovec, also utilizing FIX Padua, reported mean endogenous one-stage  
358 FIX activity of 26.9 IU/dL at month 15 post-gene therapy in participants with hemophilia B,  
359 all of whom were AAV neutralizing antibody-negative (for the AAVrh74 serotype used in  
360 that trial).[15] This resulted in an annualized bleeding rate for all bleeds of 1.28 at Month 15  
361 post-gene therapy. Although longer-term follow up of this trial is not available yet, it is  
362 notable that already 6 out of 45 participants returned to continuous FIX prophylaxis within  
363 fewer than 15 months after fidanacogene elaparvovec administration. In contrast, it is  
364 remarkable that no neutralizing antibody-negative-participants returned to continuous FIX  
365 prophylaxis over the 4-year period post-etrancogene dezaparvovec reported here. The  
366 mechanisms underlying the observed superior outcomes observed with etranacogene  
367 dezaparvovec in neutralizing antibody-negative participants compared to other AAV vectors  
368 remain unknown. A range of factors, including capsid-specific immune responses,  
369 transduction efficiency and dosing, vector genome attributes (such as CpG content),

370 manufacturing process, and the recipient's hepatic function and immunological profile, can  
371 collectively influence both the durability and extent of transgene expression, as well as the  
372 potential for related hepatotoxicity. Adverse events considered related to etranacogene  
373 dezaparvovec, which was administered at a dose of  $2 \times 10^{13}$  genome copies per kg body  
374 weight, occurred in 67% of neutralizing antibody-negative participants, all within the first 3  
375 months after gene therapy administration. The most common treatment-related adverse  
376 event was transiently increased alanine aminotransferase levels, occurring in 18% of  
377 participants, which were successfully managed using corticosteroid therapy, with stable  
378 endogenous FIX activity achieved and none of these participants requiring a return to  
379 continuous exogenous FIX prophylaxis. However, due to potential effects on hepatocyte-  
380 derived FIX Padua expression, as previously discussed, it is important to closely monitor  
381 liver transaminases in the first few months after gene delivery. This allows for immediate  
382 supportive care with corticosteroids to minimize impact on treatment efficacy.

383 In contrast, in BENEGENE-2, a phase 3 trial in which participants received a single dose of  
384 fidanacogene elaparvovec of  $5 \times 10^{11}$  genome copies per kg body weight,[15] 24/45 (53%)  
385 participants experienced increased transaminase levels. Of the six participants who  
386 resumed continuous exogenous FIX prophylaxis due to low FIX activity, all had received at  
387 least one course of glucocorticoids (2 of these participants received 2 courses of steroids  
388 for increased transaminase levels). A recent phase 1 study (N=10) of BBM-H901, an AAV  
389 vector expressing Padua FIX, reported 1 (10%) participant with treatment-related alanine  
390 aminotransferase elevation which was associated with a decrease in FIX activity. In this  
391 study, participants were excluded if they had a hepatitis B or C virus infection, alanine  
392 aminotransferase levels higher than 2-fold the upper limit of normal or liver conditions such  
393 as liver fibrosis stage  $\geq 3$ ; all participants received per-protocol prophylactic glucocorticoids  
394 from day 7 prior to BBM-H901 infusion, and for approximately 7–9 weeks afterwards.[26]  
395 This suggests that prophylactic corticosteroids neither fully prevent post-gene therapy  
396 alanine aminotransferase elevations nor support long-term stability of FIX activity. Notably,

397 etranacogene dezaparvovec was associated with infrequent and mild alanine  
398 aminotransferase elevations, all effectively managed with short, reactive corticosteroid  
399 courses, and was not associated with decreases in FIX levels after support with  
400 corticosteroids was initiated, underscoring that timely corticosteroid initiation at the first sign  
401 of alanine aminotransferase elevation is essential for maintaining stable FIX levels.

402 Regarding long-term safety, the case of the participant who developed a schwannoma was  
403 comprehensively evaluated using molecular analyses, including tests for vector integration.  
404 There was no evidence of vector DNA in the analyzed tissues, and no vector integration was  
405 detected within schwannoma sample, so that vector involvement could be excluded. The  
406 results were concordant with the established preferential hepatic tropism of the AAV5  
407 serotype, and align with the low integration frequency characteristic of recombinant AAV  
408 vectors as described in previous clinical reports.[27, 28] In more than two decades of clinical  
409 use, AAV-based gene therapy for hemophilia has not resulted in any confirmed cases of  
410 AAV-related cancer, despite concerns about potential insertional mutagenesis.[22] While  
411 ongoing long-term follow-up studies continue to characterize the safety profile and address  
412 any latent risks, current evidence increasingly supports the benign nature of AAV vector  
413 integration in the clinical setting. However, an estimated 0.1–3% of liver-targeted  
414 recombinant AAV vector may integrate into hepatocyte DNA, which potentially equates to  
415 many million hepatic integration events at the dose of  $2 \times 10^{13}$  genome copies per kg  
416 bodyweight. Therefore, continued monitoring with special focus on hepatic neoplasms,  
417 including long-term registry follow ups, remains scientifically valuable, especially to reinforce  
418 confidence in the safety of AAV-based therapies and guide evidence-based risk-benefit  
419 assessments and post-marketing strategies.[29]

420 Limitations of this post-hoc subgroup analysis include the fact that it was not prespecified  
421 and the relatively low participant numbers. However, given the low number of people with  
422 hemophilia B in the general population, we believe that the insights into the efficacy and

423 safety of etranacogene dezaparvovec in neutralizing antibody-negative participants  
424 generated by this analysis have high clinical value.

425 **Conclusions**

426 All participants with severe to moderately severe hemophilia B in the HOPE-B trial who  
427 tested negative for AAV5 neutralizing antibodies prior to etranacogene dezaparvovec  
428 infusion expressed endogenous FIX at therapeutic levels, and durable bleed protection was  
429 achieved over a 4-year period, with no participants returning to continuous FIX prophylaxis  
430 during this time frame. No treatment-related adverse events occurred after the first 3  
431 months following gene therapy; importantly, no events of AAV5-associated genotoxicity and  
432 no events of persistent late hepatotoxicity were observed. These data provide important  
433 information that will allow physicians and individuals with hemophilia B considering  
434 etranacogene dezaparvovec gene therapy to assess and understand potential outcomes,  
435 allowing informed decision making.

436

437 **Acknowledgements**

438 The authors thank all patients and their families and caregivers for their contribution to the  
439 study, together with all investigators and study team. This study was designed and funded  
440 by CSL Behring. Medical writing assistance was provided by Andy Noble and Melody  
441 Watson of Bioscript Group, Macclesfield, UK, in accordance with Good Publication Practice  
442 guidelines, and funded by CSL Behring. In addition, the authors thank the Protagene  
443 members Saira Afzal, Richard Gabriel, and Loubna Youssar for their valuable expertise,  
444 input, and collaboration regarding the description of molecular analyses.

445

446 **Funding**

447 This study was designed and funded by CSL Behring.

448

449

450 **Relationship disclosures**

451 Priyanka Raheja: Grant/Research support from: CSL Behring, Sobi, and Takeda,  
452 Consultant/speaker for: BioMarin, CSL Behring, Pfizer, Sobi, Takeda and LFB

453 Niamh O'Connell: Grant/Research support from: Sobi, Consultant for: AstraZeneca, CSL  
454 Behring and Sobi, Speaker Bureau of: Bayer, CSL Behring, Takeda, Sobi and Sanofi

455 Peter Verhamme: Consultant for: CSL Behring, Roche, CAP-DCF, Bayer HealthCare,  
456 LeoPharma, Boehringer Ingelheim, Daiichi Sankyo, Pfizer, Sanofi-Aventis, and  
457 ThromboGenics.

458 Peter Kampmann: Consultant for: BioMarin, CSL Behring and Novo Nordisk AS, Speaker  
459 Bureau of: CSL Behring.

460 Richard S. Lemons: Consultant for: CSL Behring and Novo Nordisk.

461 Fei Wang: Employee of CSL Behring.

462 Sean Gill: Employee of CSL Behring

463 Paul E. Monahan: Employee of CSL Behring.

464 Sandra Le Quellec: Employee of CSL Behring.

465 Frank W. G. Leebeek: Grant/Research support from: CSL Behring, Takeda, uniQure, Sobi,  
466 Consultant for: CSL Behring, Takeda, uniQure, BioMarin, Roche: all fees go to the  
467 university hospital.

468

469

470

471 **Author contributions**

472 Priyanka Raheja participated as a principal investigator and recruited and treated patients in  
473 this clinical trial, and contributed to analysis and interpretation of the data, the drafting and  
474 review of the content, the approval of the final draft, and agrees to be accountable for all  
475 aspects of the work.

476 Niamh O'Connell participated as a principal investigator and recruited and treated patients in  
477 this clinical trial and contributed to analysis and interpretation of the data, the drafting and  
478 review of the content, the approval of the final draft, and agrees to be accountable for all  
479 aspects of the work.

480 Peter Verhamme participated as a principal investigator and recruited and treated patients in  
481 this clinical trial, and contributed to analysis and interpretation of the data, the drafting and  
482 review of the content, the approval of the final draft, and agrees to be accountable for all  
483 aspects of the work.

484 Peter Kampmann participated as a principal investigator and recruited and treated patients  
485 in this clinical trial, and contributed to analysis and interpretation of the data, the drafting and  
486 review of the content, the approval of the final draft, and agrees to be accountable for all  
487 aspects of the work.

488 Richard S. Lemons participated as a principal investigator and recruited and treated patients  
489 in this clinical trial, and contributed to analysis and interpretation of the data, the drafting and

490 review of the content, the approval of the final draft, and agrees to be accountable for all  
491 aspects of the work.

492 Frank W. G. Leebeek participated as a principal investigator and recruited and treated  
493 patients in this clinical trial contributed to the drafting and review of the content, the approval  
494 of the final draft, and agrees to be accountable for all aspects of the work.

495 Fei Wang performed statistical analyses of the data and contributed to the drafting and  
496 review of the content, the approval of the final draft, and agrees to be accountable for all  
497 aspects of the work.

498 Sean Gill contributed to the analysis and interpretation of the data, the drafting and review of  
499 the content, the approval of the final draft, and agrees to be accountable for all aspects of  
500 the work.

501 Paul E. Monahan contributed to the conception and design of the research, the analysis and  
502 interpretation of the data, the drafting and review of the content, the approval of the final  
503 draft, and agrees to be accountable for all aspects of the work.

504 Sandra Le Quellec contributed to the conception and design of the research, the analysis  
505 and interpretation of the data, the drafting and review of the content, the approval of the final  
506 draft, and agrees to be accountable for all aspects of the work.

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633 **Tables and figures**634 **Tables**635 **TABLE 1.** Baseline demographics and clinical characteristics.

Characteristic	Neutralizing antibody-negative participants (n=33)
Age, mean (SD, min–max), years	39.5 (14.5, 21–73)
Race/ethnicity, n (%)	
White	28 (85)
Hispanic or Latino	2 (6)
Other	1 (3)
Missing	2 (6)
Positive HIV status, n (%)	2 (6)
Prior hepatitis B, n (%)	4 (12)
Prior or ongoing hepatitis C, n (%) <sup>a</sup>	17 (52)
Severity of hemophilia B at diagnosis, n (%)	
Severe (factor IX <1 IU/dL)	28 (85)
Moderately severe (factor IX ≥1 IU/dL and ≤2 IU/dL)	5 (15)
Pre-screening factor IX treatment, n (%)	
Extended half-life	17 (52)
Standard half-life	16 (48)
Participants with zero reported bleeds during the lead-in period, n (%)	11 (33)

636 <sup>a</sup>Most participants had experienced prior hepatitis C infections (n=16); one participant was undergoing  
 637 eradication of hepatitis C at the time of screening and had evidence of hepatitis C virus eradication at the time  
 638 of etranacogene dezaparvovec infusion.  
 639 HIV, human immunodeficiency virus; max, maximum; min, minimum; SD, standard deviation.

640 **Figures**

641

642 **FIGURE 1.** Comparison of annualized bleeding rates between lead in and Months 7–48 (A)  
643 and Years 1–4 post-gene therapy (B) (n=33).

644

645

646 \*p<0.01 vs lead-in; \*\*p<0.001 vs lead-in; \*\*\*p<0.0001 vs lead-in. Error bars in Figure 1B show the 95%  
647 confidence interval.

648

649

650 **Figure 2.** Endogenous factor IX activity levels at Years 1–4 post-treatment (n=33)

651

652

653 <sup>a</sup>Assessed by one-stage activated partial thromboplastin time FIX activity assay. Only uncontaminated samples  
654 were included in this analysis (i.e., blood sampling did not occur within 5 half-lives of exogenous FIX use).

655 FIX, factor IX; Q1–Q3, interquartile range.

656

657

658 **FIGURE 3.** Proportion of neutralizing antibody-negative participants who required  
659 exogenous factor IX infusions by year (n=33)<sup>a</sup>.

660

661 <sup>a</sup>Factor IX infusions for the management of invasive procedures were excluded from this analysis.

662

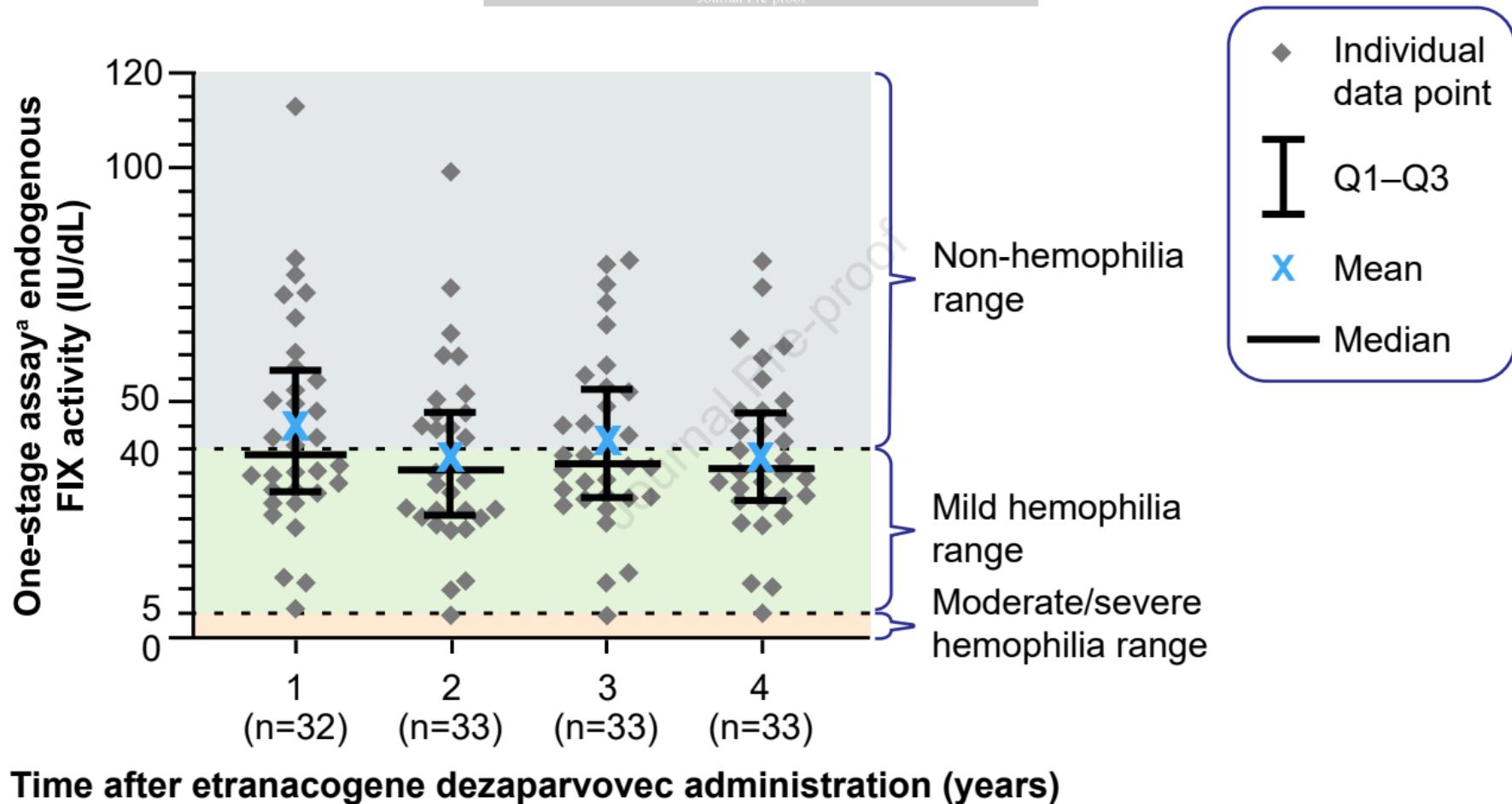
663 **FIGURE 4.** Number of treatment-related and non-treatment-related adverse events by year  
664 post-gene therapy (n=33).

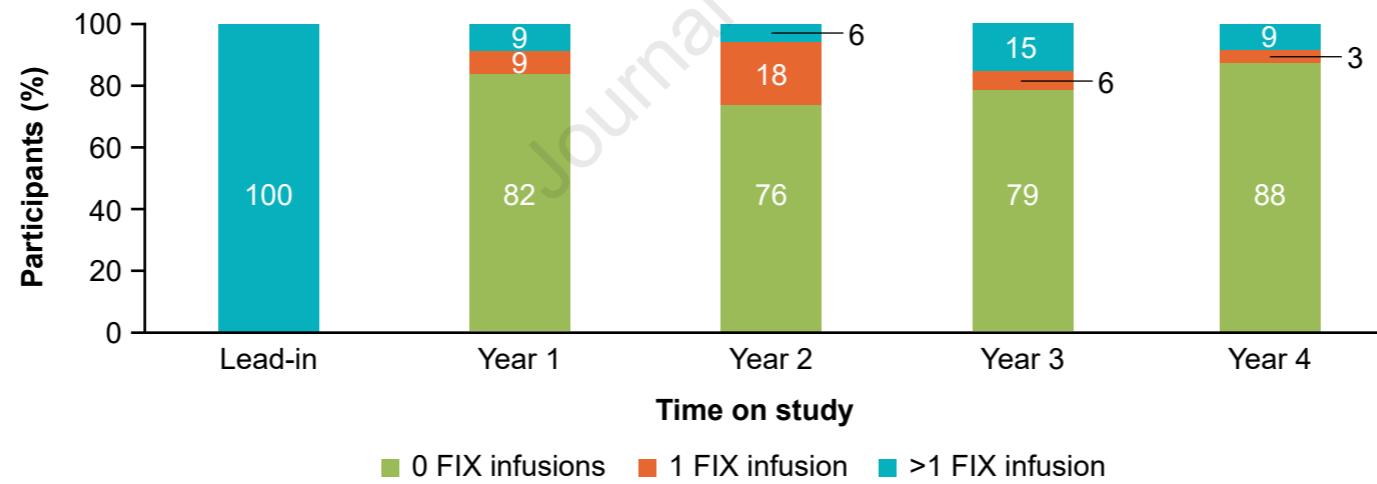
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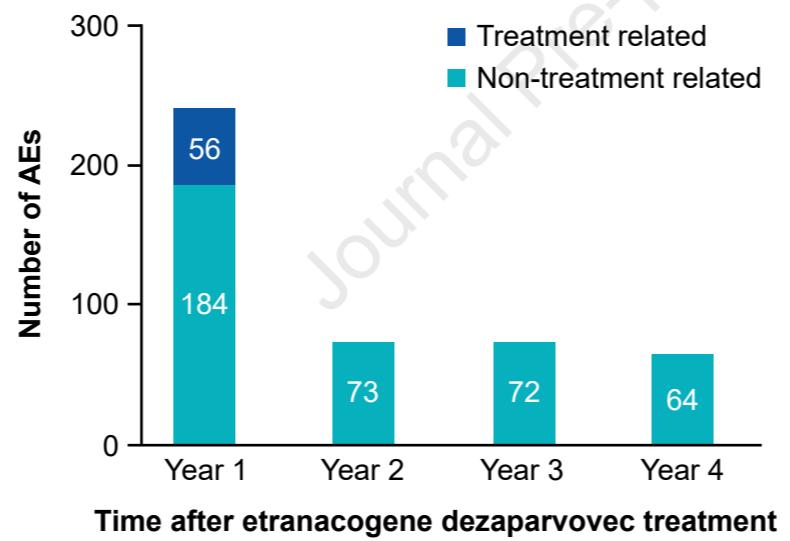
666 AEs, adverse events.

667

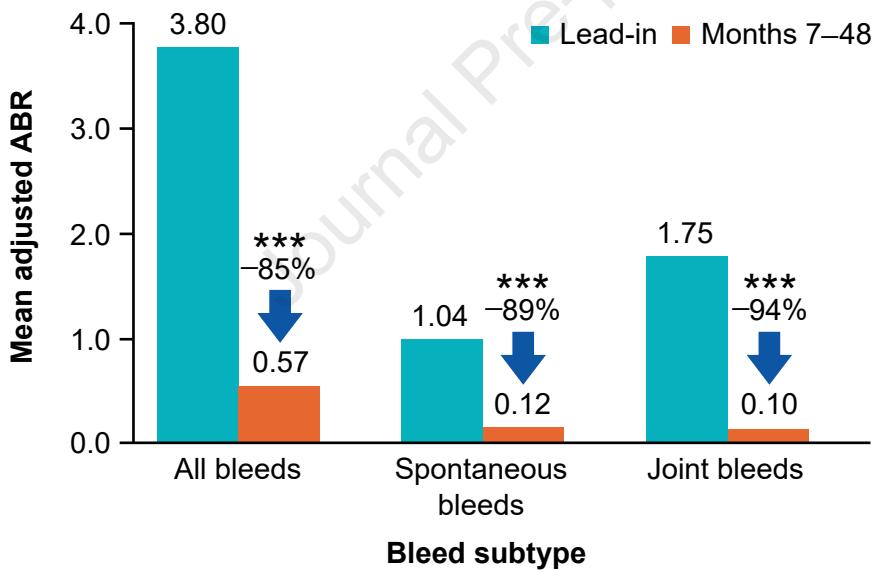
668







A



B

